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1	Landscape and dynamics of the transcriptional regulatory network
2	during natural killer cell differentiation
3	
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29 Abstract

30

31 Natural killer (NK) cells are essential in controlling cancer and infection. However, little is known 32 about the dynamics of the transcriptional regulatory machinery during NK cell differentiation. In 33 this study, we applied assay of transposase accessible chromatin with sequencing (ATAC-seq) 34 technique in a self-developed *in vitro* NK cell differentiation system. Analysis of ATAC-seq data 35 illustrated two distinct transcription factor (TF) clusters that dynamically regulate NK cell differentiation. Moreover, two TFs from the second cluster, FOSL2 and EGR2, were identified as 36 37 novel essential TFs that control NK cell maturation and function. Knocking down either of these two TFs significantly impacted NK cell transformation. Finally, we constructed a genome-wide 38 39 transcriptional regulatory network that provides an understanding of the regulatory dynamics during NK cell differentiation. 40 41 42 **Keywords** 43

44 Natural killer; ATAC-seq; programmed differentiation; FOSL2 and EGR2; dynamic regulatory45 networks

46

47 Introduction

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Natural killer (NK) cells are innate lymphocytes, and as the name suggests, NK cells 49 secreting inflammatory cytokines and directly killing infected cells represent the early defense line 50 during pathogen invasion, which surveys the environment and to protect the host from infection 51 52 or cancer cells. [1]. Additionally, NK cell-based immunotherapy has become an emerging force in 53 cancer treatment and will play an essential role in the future treatment [2-6]. For instance, adoptive 54 NK cell immunotherapy has become increasingly popular because it induces graft-versus-55 leukemia effects without causing graft-versus-host disease in patients [7]. Therefore, major efforts 56 are currently underway to fully utilize the anti-tumor properties of NK cells in the clinic. In addition, a variety of methods include the development of NK cell expansion protocols to establish 57 58 a microenvironment that favors NK cell activity, redirect the activity of NK cells on tumor cells 59 and release the inhibitory signals that limit NK cell function [8]. On the other hand, many

researchers used umbilical cord blood (UCB) CD34⁺ cells to produce abundant NK cells [9-18] 60 that were used for clinical application without feeding cells by adding various cytokines [10]. In 61 62 our previous work, we have developed a method to obtain sufficient functional NK cells by simply adding a mixture of cytokines and providing a mechanism by which NK cells can be used to treat 63 64 leukemia [19]. The use of NK cells for immunotherapy relies on a great number of NK cells with optimal cytotoxic activity [4]; therefore, a comprehensive understanding of the regulatory circuits 65 66 during NK cell differentiation is particularly important for boosting the efficacy of clinical treatments. However, the mechanisms underlying the differentiation of the NK cells are not well 67 68 understood. Current studies have shown that transcriptional factors (TFs) play an essential role in driving NK cell maturation, and many TFs have been well studied in this process [1]. Additionally, 69 70 it is known that different TFs play various roles at distinct stages of differentiation [1]. For example, PU.1 is a TF that is known to drive hematopoietic stem cell (HSC) differentiation into the earliest 71 72 myeloid and lymphoid progenitors [20], whereas *T-bet* is an essential TF in the control of NK cell 73 maturation and IFNy secretion regulation [21]. However, how TFs work in concert to enforce the 74 NK cell phenotype is not clear. In our work, we investigated the landscape and dynamics of the transcriptional regulatory network during NK cells differentiation from cord blood CD34⁺ HSCs 75 76 based on a newly developed epigenomic profiling technique named ATAC-seq [22].

ATAC-seq has been widely used to profile the epigenetic landscapes of cells at specific 77 78 stages of interest and thereby delineate the underlying regulatory mechanisms of gene expression. 79 For example, a previous report used ATAC-seq to help identify an exhaustion-specific enhancer 80 that regulates PD-1 expression and thereby elucidated the regulatory mechanism of gene expression in exhausted CD8⁺T cells [23, 24]. Alternately, ATAC-seq analysis of pure cancer cell 81 populations of human small cell lung cancer (SCLC) identified a novel TF Nfib which is necessary 82 83 and sufficient to drive the metastatic ability of SCLC cells [25]. ATAC-seq has also enabled researchers to track the epigenomic states changes in patient-derived immune cells [26], and to 84 85 survey how the personal regulomes of the cutaneous T cell lymphoma patients determines their responses towards histone deacetylase inhibitors (HDACi) anti-cancer drugs [27]. More recently, 86 87 ATAC-seq was applied to better understand the controlling of NK cells in innate immune memory during infection [28], illustrating the importance of the topic as well as the power of the technique 88 89 used in this study. Here, we have developed a systematic method to characterize the chromatin 90 accessibility and construct regulatory network dynamics during NK cell differentiation based on 91 ATAC-seq. Motif and enrichment analysis from HOMER [29] and Genomica [26] show that many

92 TFs play important roles in NK cell differentiation, and by integrating gene expression profiles

from our previous study [19], we identified two novel transcription factors, *FOSL2* and *EGR2*, that

94 are essential for driving NK cell maturation.

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96 Results

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98 Landscape of DNA accessibility during NK cell differentiation

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100 To elucidate the regulatory networks during NK cell differentiation, we developed a culture procedure to obtain differentiated NK cells from UCB CD34⁺ cells using a cocktail of cytokines 101 102 [19]. The differentiation process requires 35 days. Interestingly, after culturing of cord blood stem cells for 3 weeks, the proportion of NK cells rapidly increased from 5% on day21 to approximately 103 104 60% on day 28, and peaking at close to 100% on day 35 (Figure 1A and Figure S1A) [19]. To 105 elucidate the molecular mechanism and regulatory network underlying NK cell differentiation, we 106 interrogated the landscape of chromatin accessibility using ATAC-seq at 8 different time points, 107 with 2 replicates each along the process (Figure 1B). Multiple bioinformatics analysis methods 108 (see Materials and Methods) were then applied to obtain the differential accessible chromatin 109 sites, enriched transcription factors (TFs) and genome-wide regulatory elements. At least 50,000 110 cells were obtained from each sample, and on average, resulted more than 78 million reads with a 111 total of 1260 million measurements (Table S1). From this dataset, 143,570 DNA accessible sites were identified ($P < 10^{-7}$, FDR $< 10^{-7}$). Quantitative analysis indicated that the dataset was of high 112 113 quality with a strong signal to background noise ratio and expected fragment length distribution 114 (Figure S1B-E). Moreover, the dynamics of the chromatin accessibilities around the known 115 surface marker genes were consistent with changes of their gene expressions during NK cell 116 differentiation (Figure S1D) [30, 31]. Pearson correlation analysis on the biological replicates at 117 each time point also showed that our ATAC-seq profiles were highly reproducible (Figure S2A-118 **B**). Within all the accessible sites, only a very small portion (6.48%) were conserved across all stages (Figure S3A), while the majority of peaks changed over time, suggesting significant 119 120 chromatin dynamics during the NK cell differentiation. Peaks that were detected at all stages were 121 enriched in gene ontology (GO) terms such as RNA transcription and other functions to maintain

basic physiological activities (Figure S3B). In contrast, stage-specific peaks, especially peaks that
emerged at later stage of the process, were highly enriched in immune relevant GO terms,
suggesting the activation of critical genes that govern NK cell function.

125 For instance, several TFs are known to regulate NK cell differentiation, such as SPI1 and 126 TBX21. The former is a NK cell repressor and the latter an activator. Gene expression profiles 127 from our previous study [19] indicated down-regulation of the SPI1 gene and up-regulation of the 128 TBX21 gene during NK cell differentiation (Figure 1C). The protein levels of these two genes 129 obtained from flow cytometric experiments suggested the same trends (Figure 1D). ATAC-seq 130 revealed two regulatory elements at the promoters and intronic enhancers around each of these two 131 genes (Figure 1E). Chromatin-accessible sites around the SPII gene clearly became unavailable 132 and those around the *TBX21* gene gradually became available during the process, supporting the notion that the epigenetic dynamics of key regulators are consistent with their corresponding gene 133 134 and protein expressions. The consistency between the epigenetic and gene expression profiles of 135 transcription factors, such as GATA3 and EOMES, which are known to drive NK cell differentiation, are shown in (Figure S1E), indicating the feasibility of predicting transcriptional 136 137 regulatory networks from ATAC-seq profiles.

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139 Epigenomic signatures of different stages during NK cell differentiation

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141 To determine the differences in regulatory DNA activity among different stages during NK 142 cell differentiation, we applied pairwise comparisons of the ATAC-seq signals between the 143 corresponding samples, together with intrinsic analysis [32], a method that highlighted elements 144 that varied in accessibility across individuals but not between repeat samples from the same 145 individual. We discovered 6401 peaks of differential DNA accessible sites across the genome, and 146 identified three distinct clusters of chromatin accessible sites via unsupervised hierarchical 147 clustering (Figure 2A, Figure S4A, B). Principal component analysis (PCA) of all the samples 148 also illustrated three distinct clusters, which were consistent with the time process of NK cell 149 differentiation representing the early, intermediate and late stages of the entire process (Figure 150 S4C). Gene ontology analysis of these peaks was performed in GREAT [33]. Cluster I comprises 151 of 1584 elements that were more accessible at the early stage (days $7\sim21$) of differentiation. Peaks 152 in cluster I were enriched in the GO terms of metabolic processes, with a certain level of

significance (Figure 2B, $10^{-8} < P < 10^{-4}$), keeping cells alive, proliferation and prepare to differentiate. 153 154 Cluster II comprises 4817 elements, which were highly accessible at the late stage (days 24~35) 155 of NK cell differentiation. GREAT analysis revealed that peaks in cluster II were strongly enriched $(P < 10^{-50})$ for immune-relevant GO terms, such as immune system process, immune response and 156 157 immune system development among others (Figure 2B), indicating that the epigenetic states of 158 functional immune cell-specific genes were activated throughout the process and then drove 159 progenitor cells differentiation toward NK cells. Cluster III consisted of only 356 peaks, which 160 showed no enrichment for GO terms and were therefore neglected in downstream analysis.

We next examined whether the DNA accessibility chromatin signatures at different stages correlated with those of the corresponding gene expressions. By comparing the ATAC-seq profiles with the genome-wide microarray data during NK cell differentiation, we found that gene loci that gained chromatin accessibility were significantly increased in the gene expression level ($P<10^{-6}$), while gene loci that lost chromatin accessibility had decreased expression ($P<10^{-9}$), indicating a high correlation between epigenetic and RNA profiles (**Figure 2C**).

167 Chromatin structure and epigenetic modifications regulate gene expression [34], however, 168 the chronological order of the dynamics of the chromatin accessibility, gene expression, and cell 169 phenotype have not yet been well studied. Here, we integrated the ATAC-seq signals, microarray 170 profile and percentage of NK cell counts to examine the temporal dynamics of these three features. 171 Interestingly, we noticed that the accessibility of mature NK cell-specific peaks (cluster II in 172 Figure 2A) started to open up on day 14; and the expression of NK cell specific genes was turned 173 on approximately two days later, while the percentage of NK cell started to grow after day 21 174 (Figure 2D). These results suggested a clear chronological order of the changes in chromatin 175 structure, gene expression and cell phenotype during NK cell differentiation.

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177 Transcription factor occupancy network during NK cell differentiation

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Transcription factors bind to cognate DNA sequences with patterns termed motifs, and therefore, we can predict TFs occupancy on chromatin by DNA accessibility data from ATACseq, and use it to create regulatory networks [26]. To identify potential drivers of NK cell differentiation, we applied HOMER and used both the default (**Figure 3A**) and setting background (**Figure S5A**) search modes (see **Materials and Methods**) to search for TFs that were enriched at 184 accessible elements in cluster I and cluster II suggesting that NK cell differentiation and maturation 185 require a variety of transcription factors. TFs enriched at cluster I peaks are potential regulators at 186 the early stage of NK differentiation, while those enriched at cluster II peaks could be critical 187 regulators at the late stage. We found several TF families that were significantly enriched (P<10⁻ ¹⁰), and many of which are important known TFs of NK cells differentiation, such as the RUNX 188 189 and ETS1/PU.1 families [20, 35] and CEBP [36], supporting the reliability of this method to detect 190 critical regulators. For instance, PU.1 is widely expressed and controls multiple stages of bone 191 marrow and lymphocyte differentiation in a variety of hematopoietic-derived lineages [37-41], and 192 a reduction in the number of NKPs and iNKs has been detected in chimeric mice [20], indicating 193 that PU.1 may play an important role during the early stages of NK cell differentiation. Several 194 known TFs are also enriched at the late stage, such as RUNX [35], E2A [42], T-bet [21], STAT5 [43], and EOMES [21]. The most enriched motif in cluster II was the RUNX family: RUNX1, 195 196 RUNX and RUNX2 (Figure S5A), which are key regulators of lymphocyte lineage-specific gene 197 expression [44].

Motif searches of differential ATAC-seq peaks could potentially provide information about the transcriptional regulatory network, but one caveat of this method is unable to distinguish between similar motifs of binding TF family members. Thus, we sought to apply the Genomica's module map algorithm and "TF footprint" analysis to better predict TF occupancy on accessible sites by integrating the ATAC-seq and gene expression microarray profiles (**Figure 3**).

203 From the Jasper database we obtained 242 vertebrate TF motifs [45]. We then used 204 Genomica [27] producing a time points-specific TF occupancy network (Figure 3B). This analysis 205 revealed distinct patterns of TF access to DNA at different time points. Many known TFs were 206 enriched, for instance, STAT5, which is an IL-15 downstream signaling molecule and is 207 indispensable throughout the lifetime of NK cells. Deficiency of STAT5a/b has been reported to 208 result in complete elimination of NK cells, which demonstrates the important and non-redundant 209 effects of STAT5 [43]. The JAK-STAT pathway has also been shown to be an important signaling 210 pathway used by various cytokines and growth factors [46]. The interferon regulatory factor (IRF) 211 family regulates a variety of processes, including hematopoietic development, tumorigenesis, host immunization and pathogen defense [47, 48]. IRF2 is required to maintain the normal 212 differentiation of NK cells in a cell-intrinsic manner [49, 50]. And IRF2-deficient NK cells showed 213 214 reduced levels of mature markers and IFN- γ production during stimulation [49, 50]. T-bet and

EOMES are members of the T-box family and are known to control different aspects of NK celldifferentiation and maturation. [21, 51, 52].

217 In addition, several novel TFs were also enriched, namely, FOSL2 and EGR2, and are 218 potential regulators of NK cell differentiation. These TFs were assessed along with the other 219 enriched TF families from the motif analysis to identify which gene in the family was expressed 220 or differentially expressed during NK differentiation to further filter out true regulators. At each 221 time point, we plotted both the expression value (colored from red to green to represent high to 222 low expression in the gene microarray profile) and the motif enrichment score (shown by the circle 223 size representing the -log(P-value) of the enrichment) in the same figure (Figure 3C), and we 224 observed that the known regulators were highly expressed and enriched at different stages, same 225 as the genes FOSL2 and EGR2. By integrating results from the above three analyze (Figure 3A-C), we predict FOSL2 and EGR2 as potential regulators. 226

227 DNA sequences that are directly occupied by DNA-binding proteins are protected from transposition, and therefore the resulting sequence "footprint" reveals the presence of a DNA-228 229 binding protein at each site, analogous to DNase digestion footprints. TF "footprint" analysis of 230 our ATAC-seq profile provided further evidence of direct occupancy of a TF candidate on genomic 231 DNA and thus refined the prediction of potential regulators. We illustrated the "footprints" of 2 known regulators, STAT5 and T-bet, and observed higher DNA accessibility and deeper 232 233 "footprints" flanking their motifs in the late compared with the early stage during NK cell 234 differentiation (Figure 3D). Similarly, "footprints" of the TFs FOSL2 and EGR2 were also deeper 235 and more accessible at the late stage, suggesting not only that the motifs of these 2 TFs were 236 enriched at stage-specific peaks but that they were most likely physically bound to those accessible 237 chromatin sites, indicating that they are functional regulators of NK differentiation (Figure 3D). 238 Overall, the results from footprint analysis were also consistent with that from the HOMER and 239 Genomica's motif enrichment analysis.

By combining the TF motif and "footprint" analysis, we can theoretically predict genes that are regulated by any TF of interest. We performed this analysis on the TFs RUNX, *T-bet*, FOSL2 and EGR2 and integrated the gene expression profiles at each time point (**Figure S5B**, **Table S2**). We found that genes regulated by each of these TFs were also highly expressed at late stages and were significantly enriched in GO terms of immune system construction and other related functions. Transcription factors ETS1 was reported to drive early stages of NK cell differentiation [53], and we found that there was a FOSL2 binding site in a dynamical accessible sites on the gene body of *ETS1*, suggesting FOSL2 might regulate NK cell differentiation through *ETS1* (**Figure 3E**). Transcription factors GATA3 was found regulating liver-specific NK cells, IFN- γ production, and T-bet expression in mice [54]. Similarly, we found that both FOSL2 and EGR2 bind to the gene body of *GATA3* (**Figure 3E**), suggesting that the TFs FOSL2 and EGR2 may also regulate NK cell differentiation through regulation of *GATA3*.

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253 FOSL2 and EGR2 are necessary for NK cell differentiation

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255 *Fosl2* belongs to the *Fos* gene family, which encodes leucine zipper proteins that combines 256 to the TF complex AP-1 in the form of a dimer with JUN family. Thus, FOS proteins have been 257 suggested as key regulators of transformation, differentiation and cell proliferation. FOSL2 (FOS-258 like 2) is a protein-coding gene. The GO annotations of FOSL2 include sequence-specific DNA 259 binding, transcription factor activity and RNA polymerase II specific DNA binding. A previous report has shown that FOSL2 is constitutively expressed in adult T-cell leukemia (ATL) and up-260 261 regulates CCR4 and promotes ATL cell growth, together with JUND [55]. EGR2 (early growth 262 response 2) is also a protein-coding gene that contains three tandem C2H2-type zinc fingers. GO 263 annotations of this gene include ligase activity, sequence-specific DNA binding and transcription 264 factor activity. Previous reports have shown that Egr2 regulate T-cell and B-cell function in homeostasis and adaptive immune responses by controlling inflammation and promoting antigen 265 266 receptor signaling [56, 57].

267 Since their regulatory functions in NK cell differentiation have not been well characterized, 268 we performed loss-of-function experiments to assess the effects of FOSL2 and EGR2 on NK cell 269 differentiation. We first validated their expression levels with real-time PCR, and we found that 270 their expression gradually increased in later stages of NK cell differentiation, consistent with our 271 bioinformatics exploration (Figure S6A). Subsequently, we infected the cultured cells with TF-272 specific shRNA- and control shRNA-containing lentivirus, respectively, which are represented by 273 GFP expression (Figure 4A). We then sorted the GFP-positive cells and observed dramatically 274 reduced FOSL2 and EGR2 expression levels (Figure 4B), suggesting positive targeting of the TF-275 specific shRNA. During NK cell differentiation, we observed a nearly 30% reduction in the 276 proportion of differentiated NK cells in GFP-positive cells at days 28 and 35 (Figure 4C-D).

277 However, in the non-transfected (GFP-negative) cells, the proportion of differentiated NK cells 278 was not affected at the same time (Figure 4E-F). These results indicate that knockdown of FOSL2 279 and EGR2 expression, but not viral infection, inhibit NK cell differentiation, suggesting that 280 FOSL2 and EGR2 are necessary for NK cell differentiation. We then tested another important 281 marker of NK cell maturation CD11b [1], and found that it was significantly reduced in GFPpositive NK cells, suggesting that FOSL2 and EGR2 might affect NK cell maturation (Figure 4G). 282 283 Overall, we predicted these two TFs FOSL2 and EGR2 as key regulators based on ATAC-seq and 284 gene microarray profile analysis, and then experimentally verified that they were indeed necessary 285 for normal NK cell differentiation.

In addition, we attempted to identify the signaling pathways through which FOSL2 and EGR2 were involved in driving NK cell differentiation by performing module map analysis of all the differential peaks. 18 modules were identified according to the patterns of their accessibility (**Table S3**), several of which were significantly enriched with known TFs that regulate NK cell differentiation (**Figure S6B**), including FOSL2 and EGR2, and many genes in the JAK-STAT pathway, such as the STAT family, IRF2, TBX21, and GATA3. These results suggest that FOSL2 and EGR2, may regulate NK cell differentiation through the JAK-STAT pathway (**Figure S6C**).

293

294 Transcriptional regulatory network dynamics during NK cell differentiation

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296 The dramatic chromatin accessibility differences during NK cell differentiation prompted 297 us to check the time point-specific transcriptional regulatory network. Although some TFs have 298 been discovered to regulate NK cell differentiation, little is known about the dynamics of the entire 299 regulatory network during this process. Since the TF footprint pattern from the ATAC-seq reads 300 can simultaneously directly reveal the binding profiles of hundreds of TFs with known cognate 301 motifs [22], together with gene expression profiles, we can construct a regulatory network at each 302 time point and assess how it changes during NK cell differentiation. First, we used HOMER to 303 identify enriched TFs that bound to the cluster I and cluster II peaks shown in **Figure 3A** (P < 0.05). 304 We then examined the gene expression profiles of these TFs and found that 120 TFs were expressed in at least one stage during the differentiated process. By applying differential analysis, 305 306 we obtained 14-26 TFs that were distinctly expressed at each stage (fold change>1.5) (Figure S7), 307 and defined them as the nodes of the regulatory network. The connections (edges) between any 2

308 TFs were defined as follows: If the TF A motif is on the promoter of TF B, then we say TF A 309 regulates TF B and draw an arrow from TF A to TF B. Here, only TFs that were expressed at the 310 specific time point were consideration [58]. Using this method, we constructed the transcriptional 311 regulatory network at each time points with both the enrichment (P-value) and expression 312 information for all the relevant TFs (Figure 5A-E). Interestingly, day 7 specific TFs were densely 313 interconnected at the beginning, and quickly vanished after two weeks (Figure 5A). In contrast, 314 the day 35 specific network gradually grew out through the induction of relevant TFs. Many known 315 regulators, such as EOMES, TBX21, ETS1, PRDM1, and GATA3 and also FOLS2 and EGR2 316 were increasingly enriched on the network (Figure 5E). Similar phenomena were also observed 317 on other networks (Figure 5B-D). We believe the dynamics of the transcriptional regulatory 318 network explain the increase in the proportion and the differentiation of NK cells.

319

320 Discussion

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NK cells are important innate immune cells that have been recognized as efficient effector 322 323 cells to treat tumors. To better understand the differentiation machinery of NK cells and identify 324 new regulators in the process, we studied the landscape of active elements during NK cell 325 differentiation using the sensitive ATAC-seq method. As shown by the ATAC-seq results, three 326 distinct clusters of DNA accessible elements were found. Further analysis showed that the 327 chromatin accessibility correlated well with the level of the expression of the corresponding genes. 328 In short, this study provides an epigenomic landscape and dynamics of NK cell differentiation and 329 presents foundational profiles for studying the relationship between chromatin accessibility, gene 330 expression and cell growth during this process (Figure 2).

331 TFs bind to their motifs and are often obligate nucleosome evictors and the creators of 332 accessible DNA sites, and therefore we can use ATAC-seq to predict critical regulators in NK cell 333 differentiation [26]. By motif analysis in HOMER, we found that several known TFs were enriched 334 at different stages during NK cell differentiation. Similar results were also obtained using 335 Genomica (Figure 3B). The discovery of known regulators strongly suggested the reliability of our analysis Furthermore, by integrating results from HOMER, Genomica and motif footprint 336 337 analysis, we identified two novel TFs, FOSL2 and EGR2 that were essential for NK cell maturation. Knockdown of either of these two TFs significantly inhibited NK cell transformation in the in vitro 338

NK cell differentiation system. Module map analysis suggested these two TFs may regulate NK
cell through the JAK-STAT pathway, and therefore further studies of this pathway may facilitate
the generation of NK cells and thus promote the NK cell-based immunotherapy. Overall, this study
also provided a framework to identify new regulators from chromatin accessible data for NK cell
differentiation.

344 TFs do not usually function alone, they always interact with other molecules to fulfill their 345 unique roles. Hence, we depicted the transcriptional regulatory networks at different stages during 346 NK cell differentiation. In order to construct a stable transcriptional regulatory network, we 347 performed a rigorous screening of TFs to avoid stochastic fluctuations, and integrated both the enrichment (P-value) and expression information for all the relevant TFs. Therefore, even a change 348 349 of either the enrichment or gene expression cutoff may result in different networks, the most 350 critical TFs to the regulatory process still remain. However, since the screening of TFs mainly rely 351 on the gene microarray, which is not as accurate as RNA-seq, the structure of these predicted 352 network may not as robust as well.

353 From our previous study, we noticed that with a minimal cytokine cocktail, we can generate 354 sufficient number of functional NK cells that express the cytokines necessary for NK cells and 355 have a high effect on tumor cells [19]. Although there may also be a certain proportion of other 356 lymphocytes, in vitro expansion of NK cells from peripheral blood (PB) or UCB cells has been 357 successfully performed and developed in several clinical strategies to treat cancer [4, 5, 59-61]. 358 Therefore, a comprehensive understanding of the regulatory patterns at each differentiated stage 359 of the *in vitro*-derived NK cells in this system will help to uncover the underlying mechanisms of 360 NK cell differentiation. The transcriptional regulatory network revealed in this study will lay the 361 foundation for faster and better in vitro production of effective NK cells, thus facilitating NK cell-362 based immunotherapy. Moreover, we have identified two novel TFs, FOSL2 and EGR2, as 363 essential regulators in controlling NK cell maturation and function. We also predicted the potential 364 signaling pathways in which these two TFs may be involved and illustrated the dynamics of the 365 transcriptional regulatory network during NK cell differentiation. In spite of the advantages of our 366 strategy, there are two main limitations of this study: (1) Although induced NK cells are very similar to those produced in vivo, these two types of NK cells are still not identical. We observed 367 368 certain differences between the induced NK cells and the NK cells produced *in vivo* in terms of 369 chromatin accessibility (data not shown). (2) Before NK cells are fully developed, there is always

a mixture of cell populations with stem cells, NK progenitor cells, immature NK cells and mature NK cells and other cell types that we are incapable to delineate at this moment, i.e. any bulk cell based analysis may neglect the huge heterogeneity between cells by default. Therefore, to fully uncover the regulatory mechanism, single cell technologies are required in the future to further delineate the cell-to-cell heterogeneity and regulatory dynamics at single cell precision.

375

376 Materials and Methods

377

Samples: Umbilical cord blood (UCB) samples were collected at birth from women with normal,
full-term deliveries at Anhui Provincial Hospital, Hefei, after receiving informed consent.
Approval was obtained from the Ethics Committee of the University of Science and Technology
of China. The culture procedure for NK cell differentiation from UCB CD34⁺ cells has been
previously described [19].

383 Immunofluorescence staining: The cultured cells were post-fixed in 4% paraformaldehyde, 384 blocked with 10% goat serum and stained with primary antibodies at 4°C overnight. Secondary 385 antibodies were stained at 37°C for 1 h. Cell nuclei were stained with DAPI for 5 min at room 386 temperature. Confocal images were acquired using a Zeiss LS710 microscope.

RNA isolation and real-time PCR: Cultured cells were lysed in TRIzol reagent (Invitrogen), and
total RNA was extracted following the manufacturer's instructions. cDNA was synthesized with
Moloney murine leukemia virus reverse transcriptase (Invitrogen) and oligo (dT) 20 primers. Then,
SYBR Premix Ex Taq (TaKaRa) was used for real-time PCR (primer sequences, Table S4). The
data were analyzed using the 2-ΔΔCt method.

Lentivirus production and transduction: To produce lentiviral particles, 293T cells were transfected with the plasmids PLKO.1, pRRE, pREV and pVSV-G via Lipofectamine 2000 (Invitrogen) following the manufacturer's protocol. Then, we harvested the supernatants 48 and post-transfection. To remove cell debris, supernatants were centrifuged (3000 rpm, 10 min), and then, the lentivirus particles were concentrated by ultracentrifugation at 50000 g for 2 h at 4°C. Finally, the virus particles were gently resuspended in HBSS and stored at -80°C. After UCB CD34⁺ cells were cultured with multiple cytokines for 14-18 d, we incubated the lentivirus and the

cultured cells with polybrene (5 μ g/ml) and centrifuged them at 1000 rpm for 70 min at 10°C.

400 Statistical analysis: Statistical significance was analyzed using unpaired two-tailed t-tests. P 401 values less than 0.05 were considered statistically significant.

- 402 Microarray: The microarray was performed using an Affymetrix GeneChip® PrimeView Human
 403 Gene Expression Array. The signal values of the samples were normalized using RMA.
- 404 Differential analysis was performed using Student's t-test as previously described [19]. The

405 microarray data were under accession number GEO: GSE87787.

- 406 ATAC-seq: ATAC-seq was performed as previously described [22], and 2x150 paired-end
 407 sequencing was performed on an Illumina HiSeq X-10 to yield, on average, 78 M reads/sample.
- 408 ATAC-seq analysis: Sample reads from biological replicates were then grouped together and

divided into 8 categories: day 7, day 14, day 19, day 21, day 24, day 26, day 28 and day 35. Intrinsic

analysis and other ATAC-seq analysis was performed same as our previous work [26, 62].

Difference analysis: All samples were grouped into 8 categories (16 samples): day 7, 14, 19, 21,

412 24, 26, 28 and day 35. Data normalization and significance analysis were performed via pairwise

413 comparison between the 8 categories using DESeq2 [63], with a P<0.01, log2-fold change>5,

414 FDR<0.01, and intrinsic analysis [26] and with a z-score>1. We finally obtained 6401 differential

415 peaks. Unsupervised clustering was performed using Cluster 3.0 and visualized in Treeview.

416 GREAT [33] was used to predict enriched functions and Gene Ontology.

- 417 Stage-specific peaks: Each stage (e.g., day 7) consists of genes that were induced (>1.5-fold 418 change) at that stage compared with all the other stages. We defined peaks that were accessible 419 only in one stage as stage-specific peaks, and those that were accessible at all stages as 420 conservative peaks.
- 421 **Define promoter:** We define the range of 2kb around the transcription start site as a promoter.

422 TF motif enrichment analysis: HOMER [29] was used to perform the TF enrichment analysis
423 with the following options: findmotifs.pl input.fa fasta output/ for Figure 3A and findmotifs.pl
424 input.fa human uotputdir -fasta bg.fa for Figure S5A. TF enrichment analysis using Genomica
425 and TF footprinting analysis was performed the same as our previous work [26].

- 426 Gene Module Analysis: Gene module analyses were performed using WGCNA [64] in Figure
- 427 **S6B** with the options SoftPower = 20, minModuleSize = 30.
- 428 STRING analysis: Protein-protein interaction analyses were performed using STRING [65] in
- 429 **Figure S6C**.

Transcriptional regulatory network: We used HOMER to find the transcription factors that bind to cluster I and cluster II and obtained a total of 253 transcription factors that could regulate these differentially expressed elements (P<0.05), of which 120 were expressed in the microarray profile of the corresponding sample. If TF A bound to the promoter of TF B, we defined TF A as a regulator of TF B and then constructed a transcriptional regulatory network. Networks of TFs were assembled from this set of 120 TFs that were expressed in at least one sample. An edge between TF A and TF B indicated that TF A bound to the promoter of TF B [58].

437

438 Data Availability

439

GSA accession: The raw sequence data have been deposited in the Genome Sequence Archive
[66] in BIG Data Center [67], under the accession number CRA000846 and are publicly accessible

- 442 at <u>http://bigd.big.ac.cn/gsa/s/u7fdeNV3</u>.
- 443

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445

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452

453 Authors' contributions

454

KQ and HW conceived the project, YW and YL performed all the cell sorting and ATAC-seq
library experiments, KL performed most of the data analysis, QY analyzed the microarray data,
HW and ZT discussed the results and provided advices, KL and KQ wrote the manuscript.

- 458
- 459 **Conflict interests**
- 460

- 461 All authors have no competing financial interests.
- 462

463 **References**

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- 634

635 Figures legends

636

637 Figure 1. Landscape of DNA accessibility during NK cell differentiation

- 638 A Confocal microscopy images of membrane CD56 (red) in the cultured cells at days 7, 14, 21,
- 639 28 and 35. Scale bars, 30 μm. Nuclei are stained with DAPI.
- 640 B Schematic representation of the overall experimental design of this study. Gene expression and
- 641 chromosome opening at different time points were assessed using microarray and ATAC-seq data
- 642 respectively. The bioinformatics pipeline for data analysis is shown in the bottom.
- 643 C The gene expression profiles of *SPI1* and *TBX21* at different stages of NK cell differentiation.
- 644 **D** Flow cytometric measurement of PU.1 (SPI1-encoded protein) and T-bet (TBX21-encoded
- 645 protein) expression using in cultured cells during a 35-day time course.
- E Normalized ATAC-seq profiles of the *SPI1* (top) and *TBX21* (bottom) gene loci at differentstages during NK cell differentiation. These two genes are known to regulate NK cell
- 648 differentiation, and ATAC-seq signals were obtained from the UCSC genome browser.
- 649

650 Figure 2. Epigenomic signatures of different stages during NK cell differentiation

651 A Heatmap of 6401 differentially expressed regulatory elements during NK cell differentiation.

- 652 Each column is a sample, and each row is a peak. Samples and peaks are organized by two-
- 653 dimensional unsupervised hierarchical clustering. Color scale showing the relative ATAC-seq
- 654 peak intensity centered by each peak summit. Top: samples at all time points were categorized into
- three groups, early stage: days 7~21 (orange); interim stage: days 24~28 (yellow) and late stage:
- days 28~35 (green). Samples from the same cluster are labeled with the same color. Left:differential peaks are categorized into 3 clusters.
- **B** The top ten most significant gene ontology (GO) terms enriched in cluster I and cluster II peaks.
- 659 C Box-plots of the mRNA expression levels of the genes that are more accessible in early, interim
- and late stages during NK cell differentiation. 1,2 represents biological replicates 1 and 2,
- respectively. P-values were estimated from Student's t-test.
- **D** The changes in ATAC-seq signals (red), gene expression (orange) and number of NK cells
 (green) at different time points during NK cell differentiation.
- 664

665 Figure 3. Transcription factor occupancy network during NK cell differentiation

666 A TF motifs enriched in cluster I (left) and cluster II (right) peaks, with enrichment P-values 667 estimated from HOMER. TFs known to regulate NK cell differentiation are colored red.

668 **B** Enrichment of known TF motifs in differential accessible elements in all samples. Each row is 669 a motif, and each column is a sample. Values in the matrix represent the significance level in terms 670 of the -log(P-value) of the enrichment estimated from Genomica. Red indicates that the motif is 671 enriched in the corresponding sample, and blue indicates depletion. Red box indicates known key

672 TFs that regulates NK cell development, the pink box indicates the new TF whose function will

be experimentally tested later in Figure 4.

674 C Prediction of TFs that may regulate NK cell differentiation. TFs known to regulate NK cell

675 differentiation are shown at the top, TFs predicted to regulate early stages of the differentiation

process are shown in the bottom, and those that regulate the late stage are shown at the middle.

677 The color of each circle represents the expression level of the gene encoding the corresponding

- 678 TF, while the size of the circle represents the significance of the motifs estimated by P-values.
- **D** Visualization of the ATAC-seq footprint for STAT5, TBET, FOSL2 and EGR2 motifs during
- 680 five stages of NK cell differentiation: day 7 (blue), day 14 (green), day 21 (red), day 28 (cyan),
- day 35 (purple). ATAC-seq signals across all these motif binding sites in the genome were alignedon the motif and averaged.

E Normalized ATAC-seq profiles of the *ETS1* (left) and *GATA3* (right) gene loci at different stages
during NK cell differentiation. These two genes are known to regulate NK cell differentiation, and
ATAC-seq signals were obtained from the UCSC genome browser. Bottom: The motif of FOSL2
and EGR2.

687

688 Figure 4. FOSL2 and EGR2 are necessary for NK cell differentiation

689 A The gating strategy for cultured cells transduced with lentiviruses expressing either shFOSL2,

- 690 shEGR2 or control shRNA via detection of GFP expression.
- **B** Knockdown efficiency of *FOSL2* and *EGR2* with shFOSL2 and shEGR2.

692 C Flow cytometric analysis of CD56 in the shControl-, shFOSL2- and shEGR2-positive cells at693 days 28 and 35.

- 694 **D** Quantification of CD56-positive cells in the GFP-positive cell population at days 28 and 35 695 (n=5).
- **E** Flow cytometric analysis of CD56 in the GFP-negative cell population at days 28 and 35.

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- 697 F Quantification of CD56-positve cells in the GFP-negative cell population at days 28 and 35 698 (n=5).
- 699 **G** Quantification of CD11b-positive cells in the CD56⁺ cell population at day 35 (n=5). The results 700 from five biological replicates are presented as the mean \pm SEM. *P<0.05, **P<0.001, and 701 ***P<0.0005.
- 702

703 Figure 5. Transcriptional regulatory eetwork dynamics during NK cell differentiation

- 704 A-E Cis-regulatory networks between TFs (nodes) enriched in at least one gene set and 705 specifically expressed (fold change>1.5) at day 7 (A), day 14 (B), day 21 (C), day 28 (D) and day 706 35 (E). The arrow at the edge from node A to node B indicates that TF A regulates TF B by binding 707 to the promoter site of the latter. The color of each node indicates the expression value of the gene 708 encoding that TF, and the size of the circle represents the significance of the motif enrichment 709 according to the P-value. The types of edges indicate the Pearson correlation between the gene 710 expression profiles of the connected TFs: positively correlated (correlation coefficient>0.4); 711 negatively correlated (correlation coefficient<-0.4); no correlation (correlation coefficient between 712 -0.4 to 0.4).
- 713

Supplementary Figure S1. Landscape of DNA accessibility during NK cell differentiation

- A Fractions of $CD56^+CD3^-$ cells in the total gated cells during a 35-day time course.
- 717 B-C Quality control analysis of ATAC-seq data. B: The TSS enrichment score for all samples. C:
- 718 The fragment length distribution of all the mapped reads.
- 719 D Known cell surface markers: Normalized ATAC-seq profiles of the CD34, KIT(CD117),
- 720 *KLRD1*(CD94) and *NCAM1*(CD56) gene loci at different stages during NK cell differentiation.
- E Normalized ATAC-seq profiles of the *EOMES* (left) and *GATA3* (right) gene loci at different
- stages during NK cell differentiation. These genes are known to regulate NK cell differentiation,
- and ATAC-seq signals were obtained from the UCSC genome browser.
- 724

725 Supplementary Figure S2. The correlation analysis on the samples

A The Pearson correlation analysis on the replicates at each time point. R at the top is the Pearson

727 correlation coefficient.

B Heatmap of the Pearson correlation between all the samples with unsupervised clusteringperformed in Cluster 3.0.

730

Supplementary Figure S3. Epigenomic signatures of NK cell differentiation at different stages

- A Venn diagram of peaks identified at each stage of NK cell differentiation. Specific peaks were
- defined as peaks that were identified only at a specific time point, and conserved peaks were those
- 735 identified at all stages during the process.
- **B** The top most significant GO terms of all the stage-specific and conservative peaks.
- 737

738 Supplementary Figure S4. Epigenomic signatures of different stages during NK cell 739 differentiation

- A Heatmap of all the 6401 differential regulatory elements in all the samples. Each column is a sample, and each row is a peak. Samples and peaks were organized by two-dimensional unsupervised hierarchical clustering. The color scale shows the relative ATAC-seq signal intensities as indicated. Top: samples at all time points were categorized into three groups, early stage: days 7~21 (orange); interim stage: days 24~28 (yellow) and late stage: days 28~35 (green). Samples from the same cluster are labeled with the same color. Left: differential peaks are categorized into 3 clusters.
- 747 B Distance from all the peaks in cluster I, II, and III to their nearest genes. Known TFs regulating
 748 NK cell differentiation are labeled.
- 749 C Principle component analysis of chromatin accessibility during NK cell differentiation. Three
- rso clusters were also identified: early (days 7~21), interim (days 24 ~28), and late (day 35).
- 751

752 Supplementary Figure S5. Transcription factor occupancy network during NK cell 753 differentiation

754

- 755 A The top ten TF motifs enriched in cluster I (left) and cluster II (right) peaks, with enrichment P-
- values estimated from HOMER. TFs known to regulate NK cell differentiation are color-coded.
- 757 **B** Left: heatmaps of the gene expression profiles of the genes predicted to be regulated by RUNX,
- 758 T-bet, EGR2 and FOSL2. Unsupervised hierarchical clustering was performed. Right: the top ten

most significant GO terms enriched in up-regulated (orange) and down-regulated (green) genespredicted to be regulated by each TF on the left.

761

762 Supplementary Figure S6. EGR2 and FOSL2 are necessary for NK cell differentiation

- A Real-time qPCR analysis (n=3) of the genes EGR2 (left) and FOSL2 (right). The results from
- three replicates are presented as the mean \pm SEM.
- B Module map analysis in Genomica: representative modules from module map analysis in
 Genomica. Top: the most significantly enriched TFs in each module; bottom: the chromatin
 accessibility changes of the peaks in the corresponding module compared with day 7. Right: genes
- 768 associated with peaks in that module.
- 769 C Signaling pathways of known and predicted TFs that regulate NK cell differentiation.
- 770

771 Supplementary Figure S7. Define time-specific TFs based on differential expression analysis

- A: Distribution of all TF's fold change. X axis represents the fold change. y axis represents the
- density of TF under different fold change. Std indicates Standard Deviation. Foldchange1.5 is 2
- standard deviations away. Colors represent different time points.
- B: The number of TFs that qualify fold changes. X axis represents different FDC (fold change).
- The y axis represents the number of TFs that pass the fold change cutoff.
- 777 C: The ratio of known TFs versus all known TFs that qualify the different fold change cutoffs.
- 778 Known TFs: TFs regulating NK cell development reported in the literature.







Figure4 А В FOSL2 EGR2 1.0₇ 1.0₁ Control shFOSL2 0.8-pyright holder for this preprint lowed without permission. 0.4-* ** Control shEGR2 ich was not bioRxiv preprint do: http://wicedig.0.1101/572768;FP ver@FP bosted March 10, 2019; T certified by peer review) is the author funder. All rights reserved. No reu 0.4 -6 0.2 0.0 0.2 0.0 GFP →FSC-A Zombie NIR С shEGR2 Е shFOSL2 shFOSL2 shControl shControl shEGR2 Day 28 Day 28 53.4 <u>52.2</u> 53.2 65.3 39.7 40.2 Day 35 Day 35 95.9 94.7 94.0 73.6 69.5 99.1 С GFP GFP⁺ CD56 CD56 D F shControl shFOSL2 shEGR2 GFP⁻ Day 28 GFP⁺ Day 28 GFP⁻ Day 35 GFP⁺ Day 35 ns ns ** 100 100 100 100 ns 80 60 40 80 20% 20 % CD56⁺ Cells % CD56⁺ Cells 80 80 ns 60 60

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